

Common Application Form (For Lumpsum / Systematic Investments)

BARODA PIONEER MUTUAL FUND



Please read product labeling details available on cover page and the instructions before filling up the Application Form. Tick (✓) whichever is applicable, strike out whichever is not required.

Sr. No.

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units of Baroda Pioneer Mutual Fund)

Distributor / Broker ARN	ARN-97821	Sub-Broker Code		LG Code		EUIN	E113814
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Upfront commission shall be paid directly by the investor to the AMFI registered distributor, based on the investor's assessment of various factors, including the service rendered by the distributor.

☐ I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

1st Applicant Signature / Guardian Signature / POA Signature / Thumb Impression	2nd Applicant Signature / POA Signature / Thumb Impression	3rd Applicant Signature / POA Signature / Thumb Impression
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TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY (Please refer Instructions for filling up the Application Form - VIII)☐ I confirm that I am a First time investor across Mutual Funds.
(₹ 150 deductible as Transaction Charge and payable to the Distributor)☐ I confirm that I am an existing investor across Mutual Funds.
(₹ 100 deductible as Transaction Charge and payable to the Distributor)

In case the subscription amount is ₹ 10,000/- or more and your distributor has opted to receive Transaction Charges, they are deductible, as applicable, from the purchase / subscription amount and payable to the distributor. Units will be issued against the balance amount.

Existing Folio Number

FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) RELATED INFORMATION OF THE SOLE/ PRIMARY APPLICANT (please refer instruction XI for details)

US / Non-US Person Declaration for individuals (FATCA)* (*Mandatory)

☐ I/We hereby declare and agree that I am/we are not a "U.S. person" for U.S. federal income tax purposes and that I am/we are not acting for, or on behalf of a U.S. person. I/We understand that Baroda Pioneer Asset Management Company Limited ("BPAMC"), will rely on and act on this statement to be true as per the details furnished by me/us. In the event of false statement, BPAMC reserves the right to reject the application or terminate my / our folio. Further, I/We agree to notify BPAMC within 30 days of any change in my/our status as a U.S. person for the purposes of U.S. federal income tax. I/We agree to indemnify BPAMC in respect of any false or misleading information regarding my/our "U.S. person" status for U.S. federal income tax purposes.

1st Applicant Signature / Guardian Signature / POA Signature / Thumb Impression	2nd Applicant Signature / POA Signature / Thumb Impression	3rd Applicant Signature / POA Signature / Thumb Impression
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Individual	If you are a US person or tax-payer, please tick (✓) this box and provide your TIN (Tax-payer identification Number) below or attach a W9 form. US TIN - - - - - (OR) W9 Form attached (please ✓) ☐ Country of Residence Please specify Country of Birth Please specify
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Non Individual	Foreign Account Tax Compliance Act related information of the Applicant Country of Incorporation Please specify Form Type (refer instructions) Please specify FATCA Classification GIIN List of Signatories / Controlling persons with names and addresses attached (please ✓) ☐
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PAN DETAILS (Mandatory) PAN # (Refer Instruction IV) Status of the First Applicant (Mandatory, please ✓)

First / Sole Applicant	Second Applicant	☐ BOI ☐ LLP ☐ HUF ☐ Trust ☐ Fils ☐ Company
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Guardian*	Third Applicant	☐ QFI ☐ PIO ☐ OCI ☐ AOP ☐ Partnership
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		☐ Sole Proprietorship ☐ Society / Club ☐ NRI - Repatriation
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*If the First Applicant is a Minor, please state the details of Guardian. # Please attach PAN proof.

Occupation of the Applicant (Mandatory, please ✓)	☐ Student ☐ Business ☐ Professional ☐ Housewife ☐ Retired ☐ Builder	☐ Body Corporate ☐ Minor through guardian ☐ NRI - Repatriation
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☐ Public Co. - Listed ☐ Public Co. - Unlisted ☐ Defence ☐ Agriculture ☐ Forex Dealer ☐ Gov. Service ☐ Public Sector	☐ Foreign National Resident in India ☐ Resident Individual ☐ Other
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Gross Annual Income OR Net-worth* in ₹ (Lacks)	☐ <1 L ☐ 1-5 L ☐ 5-10 L ☐ 10-25 L ☐ >25 L	STATUS ☐ <1 L ☐ 1-5 L ☐ 5-10 L ☐ 10-25 L ☐ >25 L ☐ 25 L - 1 Cr ☐ >1 Cr
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as on Date D D M M Y Y Y Y	as on Date D D M M Y Y Y Y
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☐ Politically Exposed Person (PEP) ☐ Related to a PEP	Is the entity involved in any of the following services: • Foreign Exchange/ Money Changer ☐ Yes ☐ No
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☐ Politically Exposed Person (PEP) ☐ Related to a PEP	• Gaming/ Gambling/ Lottery (casinos, betting syndicates) ☐ Yes ☐ No • Money Lending/ Pawning ☐ Yes ☐ No
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Any other information

MODE OF HOLDING ☐ Single OR ☐ Joint OR ☐ Anyone or Survivor Default Option: Joint**SOLE / FIRST APPLICANT'S PERSONAL DETAILS** (Please fill in ALPHABETS and use one box for one alphabet, leaving one box blank between two words, as it appears in your Bank Account)

Name Mr Ms M/s

Name of the contact person in case of Non-Individual

Date of Birth (DOB) D D M M Y Y Y Y Nationality (For Individuals)

Guardian Name (if Sole/ First applicant is a Minor) Mr Ms M/s

☐ Natural Guardian (Father & Mother) ☐ Legal Guardian (Court appointed Guardian) ☐ Proof of DOB of Minor enclosed (please ✓) ☐ Passport ☐ Birth Certificate ☐ Other

Address [P. O. Box Address is not sufficient] (Indian address, in case of NRIs/ Fils)

City

Pincode (Mandatory) State Country

Phone (Off.) Fax No. Mobile No.

Phone (Res) Email ID 1

ACKNOWLEDGMENT SLIP (To be filled in by the investor) ARN-97821

Received from Mr. / Ms. / M/s.

an Application for scheme

Option (please ✓) ☐ Growth ☐ Dividend ☐ Bonus Sub-option (please ✓) ☐ Payout ☐ Reinvestment

alongwith Cheque / DD No. / UTR No. Dated D D M M Y Y Y Y

Drawn on (Bank) Amount ₹

Sr. No.

Signature, Stamp & Date

Overseas Address (Mandatory in case of NRI/ FII applicant, in addition to mailing address)																
State					Country					Zip Code						
I/We confirm that I am/we are non-resident of Indian nationality/origin & that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/ FCNR Account.																
SECOND APPLICANT'S DETAILS																
Name					Mr		Ms									
Date of Birth					D	D	M	M	Y	Y	Y	Y	Status: (✓)	☐ RI	☐ NRI	Nationality
THIRD APPLICANT'S DETAILS																
Name					Mr		Ms									
Date of Birth					D	D	M	M	Y	Y	Y	Y	Status: (✓)	☐ RI	☐ NRI	Nationality
NAME OF POWER OF ATTORNEY (POA) HOLDER (If investment is being made by a Constituted Attorney)																
					Mr		Ms									
					PAN											
☐ KYC Acknowledgment Enclosed																

FIRST HOLDER'S BANK ACCOUNT DETAILS (Mandatory) Refer Instruction III.

All communication / payments will be made to the first applicant, or to the Karta in case of HUF. Bank account details of first applicant required, without which the application is liable to be rejected.

Name of the Bank										Branch						
Account No. (in figures)										Account Type ☐ Savings ☐ Current ☐ NRO ☐ NRE ☐ Others						
Account no. (in words)																
Bank Address																
Pincode					State					City						
MICR Code (9 digits)					Example for filling the Account No.					Ac. No.		1	3	5	7	*This is an 11 Digit Number, kindly obtain it from your Bank Branch. (Please attach copy of cancelled cheque)
*IFSC Code for NEFT / RTGS										In words		One	Three	Five	Seven	

REDEMPTION / DIVIDEND / REFUND PAYOUTS (Refer Instruction X for details)**SCHEME DETAILS (Please choose the Option and Sub-option for Investment, please read product labeling details available on Cover Page and Instruction before filling this section)**

Scheme Name										Plan (please ✓) ☐ Plan A ☐ Plan B (Direct) ☐ Zero Balance folio				
Option (please ✓) ☐ Growth ☐ Dividend ☐ Bonus										Sub-option (please ✓) ☐ Payout ☐ Reinvestment				

INVESTMENT DETAILS (Strike off whichever is not applicable)

GROSS AMOUNT (A) ₹		A		DD CHARGES (IF ANY) (B)		B		NET AMOUNT (CHEQUE / DD AMOUNT) ₹		A minus B	
MODE OF PAYMENT ☐ Cheque ☐ NEFT / RTGS ☐ DD [(Bank Certificate / Third Party / DD Declaration Enclosed) (for Third Party Payment Refer Instruction VI(9))]											
Cheque / DD Details		A/c No.				A/c Type					
		Cheque / DD No.				Date		D	D	M	M
								Y	Y	Y	Y
						Drawn on Bank					
In case of NEFT / RTGS payment		UTR No.									

DEMAT ACCOUNT DETAILS

National Securities Depository Limited				Central Depository Services (India) Limited			
Depository Participant Name Mr / Ms / M/s				Depository Participant Name Mr / Ms / M/s			
DP ID No.		Beneficiary A/c No.		Target ID No.			

NOMINATION DETAILS (To be filled in by individuals singly or jointly. Mandatory only for Investors who opt to hold units in Non-Demat Form) Refer Instruction VII.

Name and Address of the Nominee(s)	Relationship between Nominee & Investor	Date of Birth	Name & Address of Guardian (to be furnished in case the nominee is minor)	Signature of Guardian / Nominee	Proportion (%) by which the units will be shared by each nominee (% to aggregate to 100%)
Nominee 1					
Nominee 2					
Nominee 3					

DECLARATION AND SIGNATURES

I/We have read and understood the contents of the scheme related documents and hereby apply for allotment of units in the Scheme. I/We agree to abide by the terms, conditions, rules & regulations governing the Scheme. I/We hereby declare that I/We are authorized to make this investment and that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any act, rule, regulation, notification or direction or any other applicable laws issued by the Government of India or any regulatory or statutory authority. I/We have understood the details of the Scheme and in the event "Know Your Customer" process is not completed by me/us to the satisfaction of the AMC, I/We hereby authorize the AMC to redeem the funds invested in the Scheme, in favour of the first applicant at the applicable NAV prevailing on the date of such redemption and to undertake such other action with such funds as may be required by law. I/We hereby authorize Baroda Pioneer Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s)/Baroda Pioneer Mutual Fund bank(s) and/or Distributor/Broker/Investment Adviser.

The ARN holder has disclosed to me/us all the commission (in the form of trail commission or any other mode), payable to him/it for the different competing schemes of various mutual funds from amongst which the Scheme is being recommended to me/us. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated. If I/We have not ticked for not appointing a nominee, then the Application Form shall be processed as without nomination.

Applicable for "Execution Only" transaction: I/We, the undersigned, hereby acknowledge and confirm that the above transaction is "Execution Only" as explained vide SEBI circular no. CIR/MD/DF/13/2011 dated 22 August 2011. This investment is being made notwithstanding the advice of the appropriateness/inappropriateness of the same and the distributor has not charged any advisory fees on this transaction.

Applicable for NRIs: I/We confirm that I am/we are Non-Residents of Indian nationality/origin but not residents of the United States and Canada and I/We hereby confirm that I/We have remitted funds from abroad through approved banking channels or from my/our monies in my/our domestic account maintained in accordance with applicable RBI guidelines.

1st Applicant Signature / Guardian Signature / POA Signature / Thumb Impression

2nd Applicant Signature / POA Signature / Thumb Impression

3rd Applicant Signature / POA Signature / Thumb Impression

Add convenience to your life with our value added service

Simply send **SMS to 9212 132763 to avail the below facilities	
Balance	SMS BAL <space> last 6 digits of Folio No.
NAV	SMS NAV <space> last 6 digits of Folio No.
Statement thru Email	SMS ES0A <space> last 6 digits of Folio No.
Last 3 Transactions	SMS Transaction <space> last 6 digits of Folio No.

**SMS charges as per service provider applicable.

**Investor can avail below facilities**

1. NAV
2. Account Balance
3. Account Statement
4. Last 5 Transactions

For more details call : **18004190911 (Toll Free)**Visit : **www.barodapioneer.in**